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PTO/SB/05 (03-01)

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

Attorney Docket No.	PBLI-P01-010
First Inventor	Sidney Pestka
Title	REGULATED RELEASE OF LYOPHILIZED POLYMERS
Express Mail Label No.	EL920 874 585US

(Only for new nonprovisional applications under 37 CFR 1.53(b))

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **30**]  
(preferred arrangement set forth below)
- ☒ - Descriptive title of the invention
  - ☒ - Cross Reference to Related Applications
  - ☒ - Statement Regarding Fed sponsored R & D
  - ☒ - Reference to sequence listing, a table, or a computer program listing appendix
  - ☒ - Background of the Invention
  - ☒ - Brief Summary of the Invention
  - ☒ - Brief Description of the Drawings (if filed)
  - ☒ - Detailed Description
  - ☒ - Claim(s)
  - ☒ - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **18**]
5. Oath or Declaration [Total Pages **1**]
- a. ☒ ~~newly~~ executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
  - ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☒ Other: **Express-mail-declaration**

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_

Prior application information:

Examiner: \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label

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or ☐ Correspondence address below

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Name (Print/Type)	Matthew P. Vincent	Registration No. (Attorney/Agent)	36,709
Signature		Date	12/31/2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Sidney Pestka

Attorney Docket No. APBI-P01-010

Serial No: Not yet assigned

Art Unit:

Examiner:

Filed: December 29, 2001

For: REGULATED RELEASE OF  
LYOPHILIZED POLYMERS

**Certification of Express Mailing Under 37 CFR 1.10**

I hereby certify that the enclosed patent application, consisting of:  
Transmittal letter (1 p.); 26 pages of specification; 3 pages of claims; one page of abstract; (18)  
pages of drawings; (one) page of unsigned declaration/power of attorney; Certification of  
Express Mailing Under 37 CFR 1.10 (1 p.); and postpaid return postcard, are being deposited by  
me with the United States Postal Service "Express Mail Post Office to Addressee" service,  
Mailing Label No. **EL920 874 585US**, under 37 CFR 1.10 on the date indicated below and is  
addressed to BOX PATENT APPLICATION, Commissioner for Patents, Washington, D.C.  
20231.

December 29, 2001

Printed name:

  


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